### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tsai, et al.

Serial No.: 09/961,134

Confirmation No.:

Filed:

September 21, 2001

For:

Method and Apparatus For

Forming Metal Layers

MAIL STOP Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

*๛๛๛๛๛๛๛๛๛* Group Art Unit: 1753

Examiner:

Wong, Edna

# **CERTIFICATE OF MAILING**

37 CFR 1.8

I hereby certify that this correspondence is being deposited on August 25, 2004, with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

## **DECLARATION UNDER 37 C.F.R. § 1.131**

- I, the undersigned patent agent, Teresa M. O'Connor, hereby declare as follows:
- Attached is a letter (Exhibit A) dated prior to August 27, 2001, that enclosed a 1. final draft of the present application my firm sent to the Client (Assignee of record) for filing at the U.S. Patent and Trademark Office. All masked dates in Exhibit A are prior to August 27, 2001. The signing attorney Patricia Verlangieri is no longer at our firm. Our file does not reflect that our firm made any changes to the specification of the present application after submitting the final draft of the application to the client in the letter of Exhibit A through the date of the actual filing of the application by the Client on September 21, 2001. Also, our file does not reflect that our Client made any changes to the specification of the present application prior to the date of filing the application on September 21, 2001.
- Subsequent to mailing of our letter (Exhibit A), we received from the Client a 2. letter (Exhibit B) with an enclosed copy of the filing receipt for the present application.

Confidential information not relevant to the invention date of the present application is masked. There is no indication in our files that the specification of the present application was modified from a date prior to August 27, 2001 through the date of actual filing on September 21, 2001.

- 3. In view of the above, to the extent reference *Collins* (US Patent No. 6,723,219 B2) is relied on by the Examiner in the rejection of pending claim 24, the subject matter disclosed by *Collins* was possessed by Applicant prior to August 27, 2001, and was included in the final draft of the present patent application from a date prior to August 27, 2001, and which was subsequently filed on September 21, 2001.
- 4. The undersigned, Teresa M. O'Connor, hereby declares that all statements made herein of her own knowledge are true and that these statements made on information and belief are believed to be true and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent resulting therefrom.

August 25, 2004

Date

Teresa M. O'Connor Registration No. 53,399

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Agent for Applicant(s)

EXHIBIT A

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[DATE]

## VIA AIRBORNE

Mr. Robert W. Mulcahy 520 Sequoia Drive Sunnyvale, CA 94086

Re: U.S. Patent Application Entitled:

"METHOD AND APPARATUS FOR FORMING METAL

LAYERS"

Your File: 5262/CMP/CMP/RKK

Our File: APPM/5262

Dear Bob:

Enclosed <u>for you to file</u> is a U.S. patent application and IDS for the above-identified docket. In accordance with our usual practice, I have enclosed a disk containing the application in WORD 6.0 format.

As instructed, I have also enclosed unexecuted Declaration, Assignment and Taiwanese Oath and Assignment and Application Summary Sheet, as well as three (3) copies of the application (one for each inventor and one for your file) and a copy of the IDS.

If you have any questions or comments concerning this matter, please feel free to contact me at your earliest convenience.

Very truly yours,

PATRICIA A. VERLANGIERI

PAV/amd Enclosures



Airbill#: 10429557250



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LEGAL DEPARTMENT

November 12, 2001

Todd Patterson Moser Patterson & Sheridan, LLP 3040 Post Oak Blvd Suite 1500 Houston TX 77056



q

Re: Applied Materials, Inc. Docket No.: 005262 USA/CMP/CMP/RKK

\*\*PLEASE USE THE ABOVE DOCKET NUMBER ON ALL CORRESPONDENCE\*\*
U.S. Patent Application Entitled: METHOD AND APPARATUS FOR FORMING METAL LAYERS

Serial No.: 09/961,134; Filing Date: 21SE2001 Confirmation Number Assignment: 4110 Inventor(s): Stan D TSAI, Shijian LI

Your Reference: APPM/5262

#### Dear Todd:

Enclosed for your records is a copy of the PTO's official filing receipt for the above-identified patent application. Please review this filing receipt, if you notice any inconsistencies, please use your own judgment as to filing a request for correction in the PTO, advising us of such action.

If an IDS has not yet been submitted to the PTO for this case, would you please prepare and file one; or give me a note for the file if an IDS is unnecessary. If an IDS has been prepared and filed, please disregard this paragraph.

Thank you for your continuing assistance.

Very truly yours,

APPLIED MATERIALS, INC.

Barbara J Holt Patent Administrator (408) 986-7273

CONFIDENTIAL

Enclosure

2881 Scott Blvd. M/S 2061 Santa Clara CA 95052 Phone (408) 986-7273 Fax: (408) 986-3090 Mailing Address Applied Materials, Inc. P. O. Box 450A Santa Clara CA 95052

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